

# Introduction



## Department of Health and Human Services Division of Child Development and Early Education

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### Quality Child Care in North Carolina



# Introduction: Presentation Objectives



- ❑ Purpose, History and Function
- ❑ Organizational Units
- ❑ Definition of Child Care in North Carolina
- ❑ Types of Arrangements
- ❑ Licensing Process and Types of Licenses
- ❑ Impact of a Sanitation Inspection on a child care program.

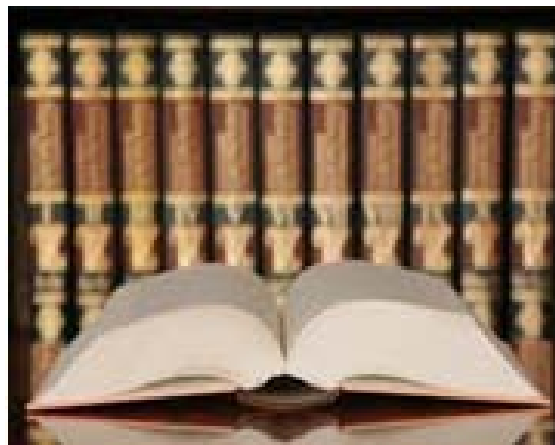


# Objective I: Purpose, History and Function

## How is Child Care in North Carolina Regulated?



Child Care in North Carolina is Regulated by the North Carolina Child Care Licensing Law



# Objective I: Purpose, History and Function

## Child Care in North Carolina

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- ❑ General Assembly
  - Drafts and passes law
  
- ❑ Child Care Commission
  - Adopts child care rules
  
- ❑ Department of Health & Human Services/  
Division of Child Development and Early Education
  - Implements child care law and rules



## Objective II. Organizational Units



Division of Child Development and Early Education  
Organizational Units:

- ❑ Director's Office
- ❑ Subsidy Services Section
- ❑ Administration Section
- ❑ Workforce Section
- ❑ Regulatory Services Section



## Objective II. Organizational Units

### Regulatory Services Section

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#### Key Responsibilities:

- ❑ Ensuring safe and healthy environments
- ❑ Promoting quality child care
- ❑ Increasing public information
- ❑ Investigating complaints and illegal operations



## Objective II. Organizational Units

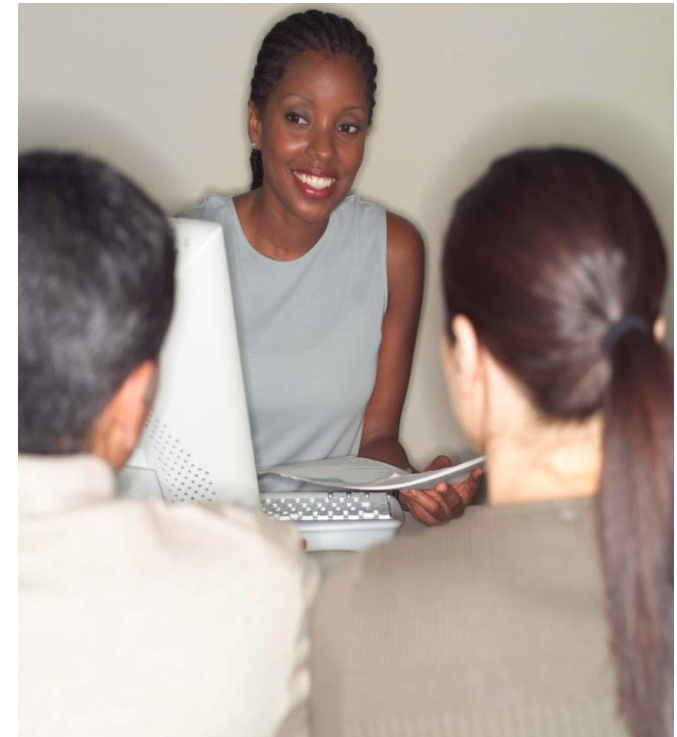
### Regulatory Services Section

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#### Key Responsibilities (continued)

- ❑ Issuing licenses
- ❑ Providing technical assistance and training
- ❑ Providing consumer education
- ❑ Increasing parent outreach



## Objective II. Organizational Units

### Regulatory Services Section



Regulatory Services Section Chief  
Assistant Section Chief (Licensing)  
Assistant Section Chief (Abuse/Neglect)

#### Licensing

- ❑ Policy and Planning Program Manager and Policy Consultants
- ❑ Regional Managers
- ❑ Licensing Supervisors
- ❑ Lead Child Care Consultants
- ❑ Child Care Consultants

#### Abuse and Neglect

- ❑ Program Managers
- ❑ A/N Supervisors
- ❑ A/N Consultants
- ❑ Intake Supervisor
- ❑ Intake Consultants
- ❑ Customer Service Representatives



## Objective II. Organizational Units

### Child Care Consultants



- ❑ Issuing licenses
- ❑ Monitoring
- ❑ Technical Assistance and Consultation
- ❑ Training/Investigating Complaints
- ❑ Reports of Abuse and Neglect and Illegal Operations
- ❑ Assist with Emergency Situations



## Objective II. Organizational Units

### ABUSE AND NEGLECT IN CHILD CARE

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Any person or institution who suspects abuse or neglect of a child must make a report to the Department of Social Services (DSS) in the county where the child resides and/or report to the Division of Child Development and Early Education (919-662-4527 or 1-800-858-0829).

- ❑ *Investigation visits can be made separately or jointly by DSS Protective Service Workers and Abuse/Neglect Consultants*
- ❑ *Reports can be made anonymously*
- ❑ *Persons can not be held liable for any report made in good faith*

# Objective III. Definition of Child Care in North Carolina



Child Care in NC is defined as ...

A program or arrangement where:

- ❑ 3 or more children less than 13 years of age
- ❑ Receive care outside of their home
- ❑ On a regular basis
- ❑ More than 4 hours/day but less than 24 hours
- ❑ At least once/week



*GS 110-86 (3)(a)*

## Objective IV. Types of Child Care Arrangements



### Child Care Excluded by G.S. 110-86

- ❑ Care in Child's Home
- ❑ Recreational Programs
- ❑ Specialized Activities
- ❑ Public Schools
- ❑ Nonpublic Schools



## **Objective IV. Types of Child Care Arrangements**

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### **Child Care Excluded by G.S. 110-86 (continued)**

- ❑ Bible Schools
- ❑ Cooperative Arrangements
- ❑ Multi-Child Care Components
- ❑ Drop-in/Short-Term Care

# Objective IV. Types of Child Care Arrangements



## Child Care Programs

- ❑ Child Care Center
- ❑ Family Child Care Home
- ❑ Center in a Residence
- ❑ Summer Camp
- ❑ Religious Sponsored Program



## Objective IV. Types of Child Care Arrangements



### Child Care Center

An arrangement where, at any one time, there are :

- ❑ Three or more preschool age children or
- ❑ Nine or more school age children receiving care, regardless of the time of day, wherever operated and whether or not operated for profit



*GS-110-86(3)(a)*

## Objective IV. Types Child Care Arrangements



### Family Child Care Home

- ❑ Located in a residence
- ❑ More than 2 children but less than 9 receive care at any one time
- ❑ No more than 5 preschoolers present at any one time, including the operators own preschool age children



GS 110-86(3)(b)



## Objective IV. Types of Child Care Arrangements



### Center in a Residence

- ❑ Licensed as a Center for 3-12 children
- ❑ Must have Building Fire & Sanitation Inspections conducted
- ❑ Dining area of kitchen can be included in available space



# Objective IV. Types of Child Care Arrangements



## Summer Camps

### What is a Summer Day Camp?

- ❑ Seasonal program serving school-aged children
- ❑ Required to be licensed, if the program receives subsidized funding
- ❑ Operates between May 15 and September 15 only
- ❑ Operates less than 4 months per year



10A NCAC 09. 2503

## Objective IV. Types of Child Care Arrangements



### Summer Day Camp Licensure Requirements

- ❑ An approved building, fire and sanitation inspection
- ❑ Developmentally appropriate activities
- ❑ Nutritious meals and snacks
- ❑ Health and safety training
- ❑ Criminal record checks
- ❑ Staff must meet certain educational and/or experience requirements.
- ❑ Additional health and safety requirements



## Objective IV. Types of Arrangements

### Summer Day Camp Sanitation Requirements

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### Food/Meals

Sanitation Inspection Report is required if food is prepared regularly



10 NCAC 10A. 1000

## Objective IV. Types of Arrangements

### Summer Day Camp Sanitation Requirements



## Food/Meals

If food is brought from home by children or catered, the following requirements apply:

- ❑ Sanitary cold storage must be provided
- ❑ Safe drinking water must be provided at all times
- ❑ Food must meet the Meal Patterns for Children in Child Care, or the provider must provide a nutritious supplement.



## Objective IV. Types of Arrangements

### Summer Day Camp Sanitation

### Requirements (continued...)



### Aquatic Activities

All swimming pools used by children must meet the "Rules Governing Public Swimming Pools" in accordance with 15A NCAC 18A .2500.



# Objective IV. Types of Child Care Arrangements



## Religious Sponsored Facilities

Must comply with all requirements except:

- ❑ Staff Qualifications
- ❑ Training Requirements
- ❑ Program Requirements
- ❑ Discipline (corporal punishment is allowed when considered part of the religious training)



## Objective V. Licensing Process



- ❑ Pre-Licensing
  - ❑ Workshop
  - ❑ Work with Consultant, Environmental Health Specialist, Building and Fire Inspectors
- ❑ Temporary License (6 months)
- ❑ Non-expiring License (one to five stars)
  - ❑ *Provisional License is issued in some circumstances to allow further time to comply with requirements.*





## Objective V. Licensing Process



### Types of Permits

- ❑ Temporary License (up to 6 months)
- ❑ Provisional License (up to 12 months)
- ❑ Special Provisional License (6 months)
- ❑ Probationary (up to 12 months)
- ❑ Notice of Compliance
- ❑ 1 to 5 Star License

# Objective V. Licensing Process

## Star Rated License



**State of North Carolina**  
Department of Health and Human Services  
Division of Child Development

**Three Star Child Care License**

Three red stars followed by two white stars, representing a three-star rating.

**ABC CHILD CARE CENTER**  
123 ANYWHERE ST  
RALEIGH, NC 27777

**In each area rated, this facility earned:**

<b>Staff Education:</b>	<b>4 out of 7 points</b>
<b>Program Standards:</b>	<b>4 out of 7 points</b>
<b>Quality Point:</b>	<b>1 out of 1 point</b>
<input checked="" type="checkbox"/> Education Option	<input type="checkbox"/> Programmatic Option
<b>Total:</b>	<b>9 out of 15 points</b>

**ID Number: 92999999**  
**Type of Facility: Center**  
**Issued to: CHILD CARE, SUZIE**

The Great Seal of the State of North Carolina, featuring a figure holding a staff and a plow, with the text 'THE GREAT SEAL OF THE STATE OF NORTH CAROLINA' and 'MAY 20, 1775' and 'APRIL 12, 1776' and 'ESSE QUAM VIDERI'.

Age Range: 0 - 12 years  
Capacity: 1<sup>st</sup> shift: 58; 2<sup>nd</sup> shift: 0; 3<sup>rd</sup> shift: 0  
Effective Date: July 1, 2007  
Restrictions:  
Daytime care only  
Children in care on ground level only

In accordance with Article 7, Chapter 110 of the North Carolina General Statutes, the above named child care facility is issued a rated license. Licenses vary from an overall rating of one to five stars, based upon their cumulative points in the three categories above.

This license must be displayed in a prominent place so it may be available and shown to each child's parent or guardian when the child is enrolled. This license cannot be bought, sold or transferred. It is only valid for the location/address noted above. This permit is the property of the State of North Carolina and must be returned to the Division of Child Development in the event of termination or revocation.

Carmen Hooker Odom, Secretary, Department of Health & Human Services      Robert W. Kindsvatter, Director, Division of Child Development

## Objective V. Licensing Process

### Star Rated Licenses



- ❑ One Star Rated License  
Minimum Requirements
  
- ❑ Two – Five Star Rated License  
Voluntarily Meets Higher Standards

Stars are based on scores in two areas:

- 1) Program Standards
- 2) Staff Education

## Objective V. Licensing Process



License can be reissued in the following instances:

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- Age Range Change
- Address Change
- Facility Name Change
- Owner Name Change
- Increase or Decrease in Capacity
- Center In a Residence
- Restriction Change
- Merger
- Special License
- Rated License
- Permit Effective Date

## VI. Impact of Environmental Health on Child Care Programs



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- Food Service/Kitchen arrangements
  - Commercial Kitchens or Domestic Equipment
  - Storage of Medications (must be locked at all times)
  - Water Supply
  - Waste Disposals
  - Storage of Beds, Cots, Mats, Linens
  - Diaper Changing
  - Ongoing Health Inspections

## VI. Impact of Environmental Health on Child Care Programs



### Administrative Actions

- ❑ Disapproved Sanitation Inspection Report
- ❑ Presence of lead hazards or children with elevated blood lead levels



# VI. Impact of Environmental Health on Child Care Programs



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## Administrative Actions

### What is an Administrative Action?

Administrative Actions, including civil penalties (fines), are tools that the Division of Child Development and Early Education uses to enforce child care facility operators to comply with the child care rules and law. The child care licensing law and the North Carolina Administrative Procedures Act empower the Division to issue Administrative Actions and civil penalties. In addition to motivating compliance, Administrative Actions ultimately promote safer, quality child care while protecting conscientious providers from substandard competition.

North Carolina General Statutes 110-94; 110-102.2; 110-105.2; 110-106(b)(3)  
Child Care Rules 10 NCAC 09 .0302(f)(2) and (g); .0400; .1900; and .2200

## VI. Impact of Environmental Health on Child Care Programs



### Written Warnings

- A Written Warning may be issued to give an operator time to demonstrate compliance with all requirements
- Corrective Action Plan (CAP) is required to be included as part of each Written Warning.
- The CAP must specifically describe:
  - a) what the operator must do to be in full compliance
  - b) must give the time frame for completing the corrective action.



## VI. Impact of Environmental Health on Child Care Programs



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### Sanitation Classifications

- ❑ Superior -- 0-15 demerits, no 6-point items
- ❑ Approved -- 15-30 demerits, no 6-point item
- ❑ Provisional-- 31-45 demerits, or a 6-point item
- ❑ Disapproved-- 46 or more demerits, or failure to improve provisional classification
- ❑ Summary Disapproved - No demerits assigned.

## VI. Impact of Environmental Health on Child Care Programs



- **Provisional**
  - 2 within a 1 yr. period - possible Written Warning
  - 3 within a 1 yr. period – possible Written Warning with Civil Penalty or Provisional License
- **Disapproved**
  - Begin process of Letter of Intent to Revoke
  - “15 Day Notice”

## VI. Impact of Environmental Health on Child Care Programs



### Presence of lead hazards or children with elevated blood lead levels

Lead investigation reports and recommendations from the Environmental Health Specialist will help establish the need for an Administrative Action based on the presence of lead hazards or if children have been diagnosed with elevated blood lead levels and the course of action needed.



# VI. Impact of Environmental Health on Child Care Programs



## Disapproved Sanitation Inspection

### SAMPLE FORM (Sanitation)

N.C. Department of Environment and Natural Resources Division of Environmental Health <b>Sanitation Standards Evaluation</b> <b>Form for Child Care Centers</b>		<b>Demerits:</b> _____ <b>Date of Insp/Chg</b> _____ <b>Status Code:</b> _____	Health Department _____ <b>Current Facility ID:</b> _____ Old Facility ID: _____
Classification: <input type="checkbox"/> Superior <input type="checkbox"/> Approved <input type="checkbox"/> Provisional <input type="checkbox"/> Disapproved			
<b>Water Supply:</b> <input checked="" type="checkbox"/> 1 Community <input checked="" type="checkbox"/> 3 Non-Transient Non-Community <input checked="" type="checkbox"/> 2 Transient Non-Community <input checked="" type="checkbox"/> 4 Non-Public Water Supply		<b>Water sample taken today?</b> <input type="checkbox"/> YES <input type="checkbox"/> NO <input checked="" type="checkbox"/> 1 Inspection <input type="checkbox"/> Name Change <input checked="" type="checkbox"/> 2 Re-Inspection <input type="checkbox"/> Verification of Closure <input checked="" type="checkbox"/> 3 Visit <input type="checkbox"/> Status Change	
<b>Wastewater System:</b> <input checked="" type="checkbox"/> 1 Community <input checked="" type="checkbox"/> 2 On-Site Systems			
Licensing ID No: _____		Maximum Capacity: _____	
Name of Facility: _____		Operator: _____	
Street Address: _____		State: _____ Zip: _____	

## VI. Impact of Environmental Health on Child Care Programs



### Faxed Information

- ❑ Fax copies of Disapproved and Provisional Inspections to Raleigh 919-661-4845



- ❑ Send copies of all Inspections to the Child Care Consultant

**Communicate and collaborate** with each other!

## VII. Contact Information:

Division of Child Development and Early Education



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### Agency Contact Information

Mailing Address: NC Division of Child Development and Early Education  
Regulatory Services Section 2201 Mail Service Center  
Raleigh, NC 27699-2201

Location Address: 319 Chapanoke Rd., Suite 120  
Raleigh, NC 27603

Email: [DCD.CustomerService@dhhs.nc.gov](mailto:DCD.CustomerService@dhhs.nc.gov)

Web Address: [www.ncchildcare.net](http://www.ncchildcare.net)

Telephone: Toll Free: 800-859-0829 (**In-State Only**)  
Raleigh Area : 919-662-4499