Quality Child Care in North Carolina
Introduction: Presentation Objectives

- Purpose, History and Function
- Organizational Units
- Definition of Child Care in North Carolina
- Types of Arrangements
- Licensing Process and Types of Licenses
- Impact of a Sanitation Inspection on a child care program.
Objective I: Purpose, History and Function

How is Child Care in North Carolina Regulated?

Child Care in North Carolina is Regulated by the North Carolina Child Care Licensing Law
Objective I: Purpose, History and Function

Child Care in North Carolina

- General Assembly
  - Drafts and passes law

- Child Care Commission
  - Adopts child care rules

- Department of Health & Human Services/
  Division of Child Development and Early Education
  - Implements child care law and rules
Objective II. Organizational Units

Division of Child Development and Early Education
Organizational Units:

- Director’s Office
- Subsidy Services Section
- Administration Section
- Workforce Section
- Regulatory Services Section
Objective II. Organizational Units
Regulatory Services Section

Key Responsibilities:

- Ensuring safe and healthy environments
- Promoting quality child care
- Increasing public information
- Investigating complaints and illegal operations
Objective II. Organizational Units

Regulatory Services Section

Key Responsibilities (continued)

- Issuing licenses
- Providing technical assistance and training
- Providing consumer education
- Increasing parent outreach
Objective II. Organizational Units

Regulatory Services Section

Regulatory Services Section Chief
Assistant Section Chief (Licensing)
Assistant Section Chief (Abuse/Neglect)

**Licensing**
- Policy and Planning Program Manager and Policy Consultants
- Regional Managers
- Licensing Supervisors
- Lead Child Care Consultants
- Child Care Consultants

**Abuse and Neglect**
- Program Managers
- A/N Supervisors
- A/N Consultants
- Intake Supervisor
- Intake Consultants
- Customer Service Representatives
Objective II. Organizational Units

Child Care Consultants

- Issuing licenses
- Monitoring
- Technical Assistance and Consultation
- Training/Investigating Complaints
- Reports of Abuse and Neglect and Illegal Operations
- Assist with Emergency Situations
Objective II. Organizational Units

ABUSE AND NEGLECT IN CHILD CARE

Any person or institution who suspects abuse or neglect of a child must make a report to the Department of Social Services (DSS) in the county where the child resides and/or report to the Division of Child Development and Early Education (919-662-4527 or 1-800-858-0829).

- *Investigation visits can be made separately or jointly by DSS Protective Service Workers and Abuse/Neglect Consultants*

- *Reports can be made anonymously*

- *Persons can not be held liable for any report made in good faith*
Objective III. Definition of Child Care in North Carolina

Child Care in NC is defined as …

A program or arrangement where:

- 3 or more children less than 13 years of age
- Receive care outside of their home
- On a regular basis
- More than 4 hours/day but less than 24 hours
- At least once/week

GS 110-86 (3)(a)
Objective IV. Types of Child Care Arrangements

Child Care Excluded by G.S. 110-86

- Care in Child’s Home
- Recreational Programs
- Specialized Activities
- Public Schools
- Nonpublic Schools
Objective IV. Types of Child Care Arrangements

Child Care Excluded by G.S. 110-86 (continued)

- Bible Schools
- Cooperative Arrangements
- Multi-Child Care Components
- Drop-in/Short-Term Care
Objective IV. Types of Child Care Arrangements

Child Care Programs

- Child Care Center
- Family Child Care Home
- Center in a Residence
- Summer Camp
- Religious Sponsored Program
Objective IV. Types of Child Care Arrangements

Child Care Center

An arrangement where, at any one time, there are:

- Three or more preschool age children or
- Nine or more school age children receiving care, regardless of the time of day, wherever operated and whether or not operated for profit

GS-110-86(3)(a)
Objective IV. Types Child Care Arrangements

Family Child Care Home

- Located in a residence
- More than 2 children but less than 9 receive care at any one time
- No more than 5 preschoolers present at any one time, including the operators own preschool age children

GS 110-86(3)(b)
Objective IV. Types of Child Care Arrangements

Center in a Residence

- Licensed as a Center for 3-12 children
- Must have Building Fire & Sanitation Inspections conducted
- Dining area of kitchen can be included in available space
Objective IV. Types of Child Care Arrangements

Summer Camps

What is a Summer Day Camp?

- Seasonal program serving school-aged children
- Required to be licensed, if the program receives subsidized funding
- Operates between May 15 and September 15 only
- Operates less than 4 months per year

10A NCAC 09. 2503
Objective IV. Types of Child Care Arrangements

Summer Day Camp Licensure Requirements

- An approved building, fire and sanitation inspection
- Developmentally appropriate activities
- Nutritious meals and snacks
- Health and safety training
- Criminal record checks
- Staff must meet certain educational and/or experience requirements.
- Additional health and safety requirements
Objective IV. Types of Arrangements

Summer Day Camp Sanitation Requirements

Food/Meals

Sanitation Inspection Report is required if food is prepared regularly

10 NCAC 10A. 1000
Food/Meals

If food is brought from home by children or catered, the following requirements apply:

- Sanitary cold storage must be provided
- Safe drinking water must be provided at all times
- Food must meet the Meal Patterns for Children in Child Care, or the provider must provide a nutritious supplement.
Aquatic Activities

All swimming pools used by children must meet the "Rules Governing Public Swimming Pools" in accordance with 15A NCAC 18A .2500.
Objective IV. Types of Child Care Arrangements

Religious Sponsored Facilities

Must comply with all requirements except:

- Staff Qualifications
- Training Requirements
- Program Requirements
- Discipline (corporal punishment is allowed when considered part of the religious training)
Objective V. Licensing Process

- Pre-Licensing
  - Workshop
  - Work with Consultant, Environmental Health Specialist, Building and Fire Inspectors

- Temporary License (6 months)

- Non-expiring License (one to five stars)
  - *Provisional License is issued in some circumstances to allow further time to comply with requirements.*
Objective V. Licensing Process

Types of Permits

- Temporary License (up to 6 months)
- Provisional License (up to 12 months)
- Special Provisional License (6 months)
- Probationary (up to 12 months)
- Notice of Compliance
- 1 to 5 Star License
Objective V. Licensing Process

Star Rated License

State of North Carolina
Department of Health and Human Services
Division of Child Development

Three Star Child Care License

ABC CHILD CARE CENTER
123 ANYWHERE ST
RALEIGH, NC 27777

In each area rated, this facility earned:

Staff Education: 4 out of 7 points
Program Standards: 4 out of 7 points
Quality Point: 1 out of 1 point
Total: 9 out of 15 points

ID Number: 92999999
Type of Facility: Center
Issued to: CHILD CARE, SUZIE

Age Range: 0 - 12 years
Capacity: 1st shift: 66; 2nd shift: 0; 3rd shift: 0
Effective Date: July 1, 2007
Restrictions:
Daytime care only
Children in care on ground level only

In accordance with Article 7, Chapter 110 of the North Carolina General Statutes, the above named child care facility is issued a rated license. Licenses vary from an overall rating of one to five stars, based upon their cumulative points in the three categories above.

This license must be displayed in a prominent place so it may be available and shown to each child’s parent or guardian when the child is enrolled. This license cannot be bought, sold or transferred. It is only valid for the location/address noted above. This permit is the property of the State of North Carolina and must be returned to the Division of Child Development in the event of termination or revocation.

Carmen Hooker Odorn, Secretary, Department of Health & Human Services
Robert W. Kindsvatere, Director, Division of Child Development
Objective V. Licensing Process

Star Rated Licenses

- **One Star Rated License**
  Minimum Requirements

- **Two – Five Star Rated License**
  Voluntarily Meets Higher Standards

Stars are based on scores in two areas:
1) Program Standards 2) Staff Education
Objective V. Licensing Process

License can be reissued in the following instances:

- Age Range Change
- Facility Name Change
- Increase or Decrease in Capacity
- Restriction Change
- Special License
- Permit Effective Date
- Address Change
- Owner Name Change
- Center In a Residence
- Merger
- Rated License
VI. Impact of Environmental Health on Child Care Programs

- Food Service/Kitchen arrangements
- Commercial Kitchens or Domestic Equipment
- Storage of Medications (must be locked at all times)
- Water Supply
- Waste Disposals
- Storage of Beds, Cots, Mats, Linens
- Diaper Changing
- Ongoing Health Inspections
VI. Impact of Environmental Health on Child Care Programs

Administrative Actions

- Disapproved Sanitation Inspection Report
- Presence of lead hazards or children with elevated blood lead levels
VI. Impact of Environmental Health on Child Care Programs

Administrative Actions

What is an Administrative Action?

Administrative Actions, including civil penalties (fines), are tools that the Division of Child Development and Early Education uses to enforce child care facility operators to comply with the child care rules and law. The child care licensing law and the North Carolina Administrative Procedures Act empower the Division to issue Administrative Actions and civil penalties. In addition to motivating compliance, Administrative Actions ultimately promote safer, quality child care while protecting conscientious providers from substandard competition.

North Carolina General Statutes 110-94; 110-102.2; 110-105.2; 110-106(b)(3)
Child Care Rules 10 NCAC 09 .0302(f)(2) and (g); .0400; .1900; and .2200
VI. Impact of Environmental Health on Child Care Programs

Written Warnings

☐ A Written Warning may be issued to give an operator time to demonstrate compliance with all requirements.

☐ Corrective Action Plan (CAP) is required to be included as part of each Written Warning.

☐ The CAP must specifically describe:
  a) what the operator must do to be in full compliance
  b) must give the time frame for completing the corrective action.
VI. Impact of Environmental Health on Child Care Programs

Sanitation Classifications

- Superior -- 0-15 demerits, no 6-point items
- Approved -- 15-30 demerits, no 6-point item
- Provisional-- 31-45 demerits, or a 6-point item
- Disapproved-- 46 or more demerits, or failure to improve provisional classification
- Summary Disapproved - No demerits assigned.
VI. Impact of Environmental Health on Child Care Programs

- **Provisional**
  - 2 within a 1 yr. period - possible Written Warning
  - 3 within a 1 yr. period – possible Written Warning with Civil Penalty or Provisional License

- **Disapproved**
  - Begin process of Letter of Intent to Revoke
  - “15 Day Notice”
VI. Impact of Environmental Health on Child Care Programs

Presence of lead hazards or children with elevated blood lead levels

Lead investigation reports and recommendations from the Environmental Health Specialist will help establish the need for an Administrative Action based on the presence of lead hazards or if children have been diagnosed with elevated blood lead levels and the course of action needed.
VI. Impact of Environmental Health on Child Care Programs

Disapproved Sanitation Inspection

SAMPLE FORM (Sanitation)

N.C. Department of Environment and Natural Resources
Division of Environmental Health
Sanitation Standards Evaluation
Form for Child Care Centers

<table>
<thead>
<tr>
<th>Classification:</th>
<th>Superior</th>
<th>Approved</th>
<th>Provisional</th>
<th>Disapproved</th>
</tr>
</thead>
<tbody>
<tr>
<td>Water Supply:</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>1 Community</td>
<td>2 Transient Non-Community</td>
<td>3 Non-Transient Non-Community</td>
<td>4 Non-Public Water Supply</td>
<td></td>
</tr>
<tr>
<td>Wastewater System:</td>
<td>1 Community</td>
<td>2 On-Site Systems</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Health Department: ____________________________
Current Facility ID: _______________________
Old Facility ID: ____________________________

Demenis: ____________________________
Date of Insp/Chg: _______________________
Status Code: _______________________

Water sample taken today? □ YES □ NO
1 Inspection □ Name Change
2 Re-Inspection □ Verification of Closure
V Visit □ Status Change

Licensing ID No: ____________________________
Maximum Capacity: _______________________

Name of Facility: ____________________________
Operator: ____________________________

Street Address: ____________________________
State: __ Zip: __________
VI. Impact of Environmental Health on Child Care Programs

Faxed Information

- Fax copies of Disapproved and Provisional Inspections to Raleigh 919-661-4845

- Send copies of all Inspections to the Child Care Consultant

Communicate and collaborate with each other!
## VII. Contact Information:
Division of Child Development and Early Education

### Agency Contact Information

<table>
<thead>
<tr>
<th>Mailing Address:</th>
<th>NC Division of Child Development and Early Education Regulatory Services Section 2201 Mail Service Center Raleigh, NC 27699-2201</th>
</tr>
</thead>
<tbody>
<tr>
<td>Location Address:</td>
<td>319 Chapanoke Rd., Suite 120 Raleigh, NC 27603</td>
</tr>
<tr>
<td>Email:</td>
<td><a href="mailto:DCD.CustomerService@dhhs.nc.gov">DCD.CustomerService@dhhs.nc.gov</a></td>
</tr>
<tr>
<td>Web Address:</td>
<td><a href="http://www.ncchildcare.net">www.ncchildcare.net</a></td>
</tr>
<tr>
<td>Telephone:</td>
<td>Toll Free: 800-859-0829 (In-State Only) Raleigh Area: 919-662-4499</td>
</tr>
</tbody>
</table>