



NC DEPARTMENT OF HEALTH AND HUMAN SERVICES

ROY COOPER • Governor
MANDY COHEN, MD, MPH • Secretary
KODY H. KINSLEY • Chief Deputy Secretary for Health
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Division of Public Health

COMMON FORM FOR AUTHORIZED ON-SITE WASTEWATER EVALUATOR PERMIT OPTION FOR NON-ENGINEERED SYSTEMS

See Instructions for Use in Appendix A

Except for "Date received", this Section to be completed by the AOWE in accordance with G.S. 130A-336.2

LHD USE ONLY: Initial submittal of this NOI received: \_\_\_\_\_ by \_\_\_\_\_
Date Initials

PART 1: Notice of Intent to Construct (NOI)

- Expansion
Repair - LHD Permit Number
Repair - EOP/LSS/AOWE Permit Number

1. Facility Owner's name: (Owner, Company Name, Utility, Partnership, Individual, etc.): \_\_\_\_\_

Mailing address: \_\_\_\_\_ City: \_\_\_\_\_ State: \_\_\_\_\_ Zip: \_\_\_\_\_

Telephone number: \_\_\_\_\_ E-mail Address: \_\_\_\_\_

2. Authorized On-Site Wastewater Evaluator (AOWE) name: \_\_\_\_\_

LSS License number: \_\_\_\_\_ AOWE Certification number: \_\_\_\_\_

Mailing address: \_\_\_\_\_ City: \_\_\_\_\_ State: \_\_\_\_\_ Zip: \_\_\_\_\_

Telephone number: \_\_\_\_\_ E-mail Address: \_\_\_\_\_

3. Licensed Geologist (LG) (if applicable) name: \_\_\_\_\_ License Number: \_\_\_\_\_

Mailing address: \_\_\_\_\_ City: \_\_\_\_\_ State: \_\_\_\_\_ Zip: \_\_\_\_\_

Telephone number: \_\_\_\_\_ E-mail Address: \_\_\_\_\_

4. Proof of Errors and Omissions or other appropriate liability insurance for the following persons is attached that includes the name of the insurer, name of the insured and the effective dates of coverage:

- AOWE LG

5. Property location (physical address, tax parcel identification number or subdivision lot, block number of the property to be permitted): \_\_\_\_\_

County Name: \_\_\_\_\_

- Type of facility: Place of residence No. Bedrooms: No. Occupants:
Place of business Basis for flow calculation:
Place of public assembly Basis for flow calculation:

NC DEPARTMENT OF HEALTH AND HUMAN SERVICES • DIVISION OF PUBLIC HEALTH

LOCATION: 5605 Six Forks Road, Building 3, Raleigh, NC 27609
MAILING ADDRESS: 1642 Mail Service Center, Raleigh, NC 27699-1642
www.ncdhhs.gov • TEL: 919-707-5854 • FAX: 919-845-3972

- 7. Factors that would affect the wastewater load: \_\_\_\_\_  
\_\_\_\_\_
- 8. Type, location, and classification (per Rule .1961) of wastewater system: \_\_\_\_\_  
\_\_\_\_\_
- 9. Design wastewater flow: \_\_\_\_\_ gpd  
Design wastewater strength:  domestic  high strength  industrial process *(For high strength and industrial process wastewater, a Professional Engineer licensed in accordance with G.S. 89C shall design the on-site wastewater system.)*
- 10. A plat as defined in G.S. 130A-334(7a) is attached:  Yes  No  
A site plan as defined in G.S. 130A-334(13a) is attached:  Yes  No  
***In accordance with G.S. 130A-335(f), an AOWE Permit with a plat is valid without expiration and an AOWE Permit with a site plan is valid for five years.***
- 11. Owner meets requirements of ownership or control of the system per 15A NCAC 18A .1938(j):  Yes  No
- 12. Easement, right of way or encroachment agreement required per 15A NCAC 18A .1938(j):  Yes  No  
If yes, documentation filed in \_\_\_\_\_ County Register of Deeds in Deed book \_\_\_\_\_ Page \_\_\_\_\_
- 13. Multi-party agreements required, as applicable, pursuant to 15A NCAC 18A .1937(h):  Yes  No  
If yes, agreements filed in \_\_\_\_\_ County Register of Deeds in Deed book \_\_\_\_\_ Page \_\_\_\_\_
- 14. Location of proposed or existing wells (drinking water, irrigation, geothermal, groundwater monitoring, sampling, etc.) and any potable and non-potable water conveyance lines is indicated on attached plans and complies with 15A NCAC 18A .1950:  Yes  No  
This is a saprolite system.  Yes  No
- 15. Evaluation(s) of soil conditions and site features in accordance with G.S. 130A-335(a1) signed and sealed by a LSS is attached:  Yes  No
- 16. Evaluation of geologic and hydrogeologic conditions signed and sealed by a LG is attached  Yes  NA
- 17. Proposed landscape, site, drainage, or soil modifications are attached:  Yes  NA

***Attestation by AOWE pursuant to G.S. 130A-336.2***

I, \_\_\_\_\_ hereby attest that the information required to be included with  
*Authorized On-Site Wastewater Evaluator (Print Name)*  
this Notice of Intent to Construct is accurate and complete to the best of my knowledge and that the proposed system shall meet applicable federal, State, and local laws, regulations, rules and ordinances, and that the proposed system does not require a Professional Engineer, licensed in accordance with G.S. 89C, and in accordance with 15A NCAC 18A .1938 and activities determined to be engineering as determined by the North Carolina Board of Examiners for Engineers and Surveyors.”

\_\_\_\_\_  
*Signature of Authorized On-Site Wastewater Evaluator*

\_\_\_\_\_  
*Date*

**NOTES:**

*LIABILITY: The Department, the Department's authorized agents, or local health departments shall have no liability for wastewater systems designed, constructed, and installed pursuant to an AOWE Permit Option [G.S. 130A-336.2]*

*RIGHT OF ENTRY: The submittal of this **Notice of Intent to Construct** grants right of entry to the Local Health Department and the State to the referenced property.*

*ISSUANCE OF BUILDING PERMIT: Once the LHD deems that the Notice of Intent to Construct is complete via signature in the section below, the owner may apply to the local permitting agency for a permit for electrical, plumbing, heating, air conditioning or other construction, location or relocation activity under any provision of general or special law pursuant to G.S. 130A-338.*

***This section for Local Health Department use only.***

**PART 2: LHD Completeness Review of the Notice of Intent to Construct**

*“(c) Completeness Review for Notice of Intent to Construct. –The local health department shall determine whether the notice of intent to construct required pursuant to subsection (b) of this section is complete within five business days after receiving the notice of intent to construct. A determination of completeness means that the notice of intent to construct includes all of the required components. If the local health department determines that the notice of intent to construct is incomplete, the local health department shall notify the owner and list the information needed to complete the notice. The owner may then submit additional information to the local health department to cure the deficiencies in the initial notice. The local health department shall make a final determination as to whether the notice of intent to construct is complete within five business days after the department receives the additional information. If the local health department fails to act within any time period set out in this subsection, the owner may treat the failure to act as a determination of completeness. The owner shall be able to apply for the building permit for the project upon the decision of completeness of the notice of intent by the local health department or if the local health department fails to act within the five business day time period.”*

The review for completeness of this Notice of Intent was conducted in accordance with G.S. 130A-336.2(c). This NOI is determined to be:

INCOMPLETE (If box is checked, Information in this section is required.)

Based upon review of information submitted in Part 1, the following items are missing: \_\_\_\_\_

Copies of this form listing missing items were sent to the AOWE and the Owner on \_\_\_\_\_

via \_\_\_\_\_ with directions to re-submit missing items using Page 5 of this form.

*Email, FAX, USPS, hand-delivered*

\_\_\_\_\_  
*Print Name of Authorized Agent of the LHD*                      *Signature of Authorized Agent of the LHD*                      *Date*

COMPLETE (If box is checked, information in this section is required.)

Based upon review of information submitted in Part 1 of this form, this NOI is deemed COMPLETE.

Copies of this signed form were sent to the AOWE and the Owner on \_\_\_\_\_ via \_\_\_\_\_.

A copy of this NOI and tracking information was sent to the State on \_\_\_\_\_ via \_\_\_\_\_.

\_\_\_\_\_  
*Print Name of Authorized Agent of the LHD*                      *Signature of Authorized Agent of the LHD*                      *Date*

**Re-submittal of NOI with missing items included**

*This Section is for use by owner to submit items noted as missing during LHD Completeness Review above.  
Resubmittals must be accompanied by a cover letter from the AOWE.*

LHD USE ONLY: This NOI resubmittal received: \_\_\_\_\_ by \_\_\_\_\_  
*Date* *Initials*

Item # from initial NOI	Resubmittal description

**Attestation by AOWE certified in North Carolina pursuant to G.S. 130A-336.2**

I, \_\_\_\_\_ hereby attest that the information required to be included with  
*Authorized On-Site Wastewater Evaluator (Print Name)*  
 this Notice of Intent to Construct is accurate and complete to the best of my knowledge and that the proposed system shall meet applicable federal, State, and local laws, regulations, rules, and ordinances.

\_\_\_\_\_  
*Signature of Authorized On-Site Wastewater Evaluator* *Date*

*The section below is for Local Health Department use after submittal of items noted as missing above.*

**LHD Follow-up Completeness Review of Notice of Intent to Construct**

This follow-up review for completeness of this Notice and Intent was conducted in accordance with G.S. 130A-336.2(c). This NOI is determined to be:

**INCOMPLETE**  
 Based upon review of information in the RESUBMITTAL above, this Notice of Intent remains INCOMPLETE because the following items from Part 1 of this form remain missing: \_\_\_\_\_

Copies of this signed form were sent to the AOWE and the Owner on \_\_\_\_\_ via \_\_\_\_\_.  
*Date* *Email, FAX, USPS, Hand-delivered*

\_\_\_\_\_  
*Print name of authorized Agent of the LHD* *Signature of authorized Agent of the LHD* *Date*

**COMPLETE**  
 Based upon review of information submitted in the RESUBMITTAL above in addition to information provided in Part 1 of this form, this NOI is deemed complete.

Copies of this signed form were sent to the AOWE and the Owner on \_\_\_\_\_ via \_\_\_\_\_.  
*Date* *Email, FAX, USPS, Hand-delivered*

A complete copy of this form with tracking information was sent to the State: \_\_\_\_\_ via \_\_\_\_\_.  
*Date* *Email, FAX, USPS, hand-delivered*

\_\_\_\_\_  
*Print name of authorized Agent of the LHD* *Signature of authorized Agent of the LHD* *Date*

**PART 3: Authorization to Operate (ATO)**

*Except for date received, the Section below is to be completed by the Owner.*

LHD USE ONLY: Initial submittal of request for ATO received: \_\_\_\_\_ by \_\_\_\_\_  
Date Initials  
Date of Post-construction Conference: \_\_\_\_\_

The following items are included in this submittal for an Authorization to Operate under an AOWE permit:

1. Signed and sealed copy of the AOWE’s report that includes:
  - a. Signed and sealed evaluation of soil conditions and site features  Yes  No
  - b. Drawings, specifications, plans  Yes  No
  - c. Reports on special inspections and final inspection  Yes  No
  - d. Management Program manual  Yes  No
  - e. On-site Wastewater Contractor’s signed statement  Yes  No
2. Fee (as applicable)  Yes  No
3. Notarized letter documenting Owner’s acceptance of the system from the AOWE  Yes  No
4. On-site Wastewater Contractor name: \_\_\_\_\_ License number: \_\_\_\_\_  
Mailing address: \_\_\_\_\_ City: \_\_\_\_\_ State: \_\_\_\_\_ Zip: \_\_\_\_\_  
Telephone number: \_\_\_\_\_ E-mail Address: \_\_\_\_\_
5. Proof of Errors and Omissions or other appropriate liability insurance for the On-site Wastewater Contractor is attached and includes the name of the insurer, name of the insured, and the effective dates of coverage.  
 Yes  No

**Attestation by the Owner for Authorization to Operate**

I, \_\_\_\_\_ hereby attest that all items indicated above have been provided to the  
Print name of Owner  
\_\_\_\_\_ County LHD and the system shall meet applicable federal, State, and local laws, regulations, rules and ordinances.

\_\_\_\_\_  
Signature of Owner Date

*This section for LHD Use Only.*

**LHD Review of required information for the ATO**

INCOMPLETE  
Based upon review of information submitted by the Owner in the Section above, the following items are missing from the information required for an Authorization to Operate for an AOWE permit: \_\_\_\_\_

Copies of this signed form were sent to the AOWE and the Owner on \_\_\_\_\_ via \_\_\_\_\_  
Date Email, FAX, USPS, Hand-delivered

\_\_\_\_\_  
Print name of authorized Agent of the LHD Signature of authorized Agent of the LHD Date

COMPLETE  
Based upon review of information submitted by the Owner in the Section above, this Authorization to Operate is hereby issued in accordance with G.S. 130A-336.2(m).

A copy of this complete NOI/ATO with tracking information was sent to the State on \_\_\_\_\_ via \_\_\_\_\_  
Date Email, FAX, USPS, Hand-delivered

\_\_\_\_\_  
Print name of authorized Agent of the LHD Signature of authorized Agent of the LHD Date

*ISSUANCE OF CERTIFICATE OF OCCUPANCY:* Once the LHD determines completeness based upon the ATO submission, the owner may apply to the local permitting agency for permanent electrical service to a residence, place of business or place of public assembly pursuant to G.S. 130A-339.