COMMON FORM FOR ENGINEERED OPTION PERMIT

See Instructions for Use in Appendix A

Except for “Date received”, this Section to be completed by the Professional Engineer licensed in accordance with G.S. 89C

LHD USE ONLY: Initial submittal of this NOI received: _____________________ by _________

Date                  Initials

PART 1: Notice of Intent to Construct (NOI)

☐ New    ☐ Expansion

☐ Repair – LHD Permit Number_________________    ☐ Repair – EOP Permit Number ____________________

1. Facility Owner’s name: (Owner, Company Name, Utility, Partnership, Individual, etc.): ____________________

Mailing address: ____________________________ City: __________State: ______ Zip: ______

Telephone number: _________________________ E-mail Address: ____________________________

2. Professional Engineer (PE) name: _______________________________ License number:______________

Mailing address: ____________________________ City: __________State: _____ Zip: ______

Telephone number: _________________________ E-mail Address: ____________________________

3. Licensed Soil Scientist (LSS) name: __________________________________ License number:______________

Mailing address: ____________________________ City: __________State: _____ Zip: ______

Telephone number: _________________________ E-mail Address: ____________________________

4. Licensed Geologist (LG) (if applicable) name: ____________________ License Number: __________

Mailing address: ____________________________ City: __________State: _____ Zip: ______

Telephone number: _________________________ E-mail Address: ____________________________

5. On-site Wastewater Contractor name: ____________________________ License number: __________

Mailing address: ____________________________ City: __________State: _____ Zip: ______

Telephone number: _________________________ E-mail Address: ____________________________

6. Proof of Errors and Omissions or other appropriate liability insurance for the following persons is attached that includes the name of the insurer, name of the insured and the effective dates of coverage:

☐ PE ☐ LSS ☐ LG ☐ On-site Wastewater Contractor
7. Property location (physical address, tax parcel identification number or subdivision lot, block number of the property to be permitted): ____________________________________________________________________
   County Name: __________________

8. Type of facility: □ Place of residence  No. Bedrooms: _______  No. Occupants:______
   □ Place of business  Basis for flow calculation:_____________________________________
   □ Place of public assembly  Basis for flow calculation:______________________________

9. Factors that would affect the wastewater load: ____________________________________________
   ________________________________________________________________________________

10. Type, location, and classification (per Rule .1961) of wastewater system: _____________________
    ________________________________________________________________________________

11. Design wastewater flow: _________ gpd (For flow > 3,000 gpd and industrial process, duplicate plans shall be sent to the State.)
    Design wastewater strength: □ domestic  □ high strength  □ industrial process

12. A plat as defined in G.S. 130A 334(7a) is attached: □ Yes □ No

13. Owner meets requirements of ownership or control of the system per 15A NCAC 18A .1938(j): □ Yes □ No

14. Easement, right of way or encroachment agreement required per 15A NCAC 18A .1938(j): □ Yes □ No
    If yes, documentation filed in _____________County Register of Deeds in Deed book ________ Page _______

15. Multi-party agreements required, as applicable, pursuant to 15A NCAC 18A .1937(h): □ Yes □ No
    If yes, agreements filed in ________________County Register of Deeds in Deed book ________ Page _______

16. Location of proposed or existing wells (drinking water, irrigation, geothermal, groundwater monitoring, sampling, etc.) and any potable and non-potable water conveyance lines is indicated on attached plans and complies with 15A NCAC 18A .1950: □ Yes □ No
    This is a saprolite system. □ Yes □ No

17. Evaluation(s) of soil conditions and site features in accordance with G.S. 130A-335(a1) signed and sealed by a LSS is attached: □ Yes □ No

18. Evaluation of geologic and hydrogeologic conditions signed and sealed by a LG is attached □ Yes □ NA

19. Proposed landscape, site, drainage, or soil modifications are attached: □ Yes □ NA

Attestation by Professional Engineer licensed in North Carolina pursuant to G.S. 89C

I, _____________________________hereby attest that the information required to be included with
Registered Professional Engineer (Print Name)
this Notice of Intent to Construct is accurate and complete to the best of my knowledge and that the proposed system shall meet applicable federal, State, and local laws, regulations, rules and ordinances in accordance with G.S. 130A-336-.1(e)(6).

_____________________________________________     _______________________
Signature of Licensed Professional Engineer                  Date
This section is for Owner use to either designate PE as their legal representative or to self-submit the NOI.

Designation of Registered Professional Engineer as legal representative of Owner for this Notice of Intent:
I, ______________________________________ hereby designate ______________________________________

Print Name of Owner                                      Print Name of Registered Professional Engineer

as my legal representative for purposes of this Notice of Intent pursuant to G.S. 130A-336.1.

______________________________________________     _______________________
Signature of Owner                                      Date

Owner self-submittal of NOI:
I, ______________________________________ hereby submit this NOI prepared by ______________________________________

Print Name of Owner                                      Print Name of Licensed PE

pursuant to G.S. 130A-336.1.

______________________________________________     _______________________
Signature of Owner                                      Date

NOTES:
LIABILITY: The Department, the Department’s authorized agents or local health departments shall have no liability for wastewater systems designed, constructed and installed pursuant to an Engineered Option Permit. [(NC General Statute 130A-336.1(f)]

RIGHT OF ENTRY: The submittal of this Notice of Intent to Construct grants right of entry to the Local Health Department and the State to the referenced property.

ISSUANCE OF BUILDING PERMIT: Once the LHD deems that the Notice of Intent to Construct is complete via signature in the section above, the owner may apply to the local permitting agency for a permit for electrical, plumbing, heating, air conditioning or other construction, location or relocation activity under any provision of general or special law pursuant to G.S. 130A-338.
PART 2: LHD Completeness Review of the Notice of Intent to Construct  
"(c) Completeness Review for Notice of Intent to Construct. – The local health department shall determine whether a notice of intent to construct, as required pursuant subsection (b) of this section, is complete within 15 business days after the local health department receives the notice of intent to construct. A determination of completeness means that the notice of intent to construct includes all of the required components. If the local health department determines that the notice of intent to construct is incomplete, the department shall notify the owner or the professional engineer of the components needed to complete the notice. The owner or professional engineer may submit additional information to the department to cure the deficiencies in the notice. The local health department shall make a final determination as to whether the notice of intent to construct is complete within 10 business days after the department receives the additional information from the owner or professional engineer. If the department fails to act within any time period set out in this subsection, the owner or professional engineer may treat the failure to act as a determination of completeness.”

The review for completeness of this Notice of Intent was conducted in accordance with G.S. 130A-336.1(c). This NOI is determined to be:

☐ INCOMPLETE (If box is checked, Information in this section is required.)

Based upon review of information submitted by the PE in Part 1, the following items are missing: ______________

_____________________________________________________________________________________________

Copies of this form listing missing items were sent to the design PE and the Owner on _______________

via ______________________ with directions to re-submit missing items using Page 5 of this form.

Email, FAX, USPS, hand-delivered

_________________________    ______________________    _____________
Print Name of Authorized Agent of the LHD   Signature of Authorized Agent of the LHD                      Date

☐ COMPLETE (If box is checked, information in this section is required.)

Based upon review of information submitted by the PE in Part 1 of this form, this NOI is deemed COMPLETE.

Copies of this signed form were sent to the design PE and the Owner on ________ via   __________________.

Date   Email, FAX, USPS, hand-delivered

A copy of this NOI and tracking information was sent to the State on_____________ via ____________________

Date   Email, FAX, USPS, hand-delivered

_________________________    ______________________    _____________
Print Name of Authorized Agent of the LHD   Signature of Authorized Agent of the LHD                      Date
Re-submittal of NOI with missing items included

This Section is for use by PE to submit items noted as missing during LHD Completeness Review above. Resubmittals must be accompanied by a cover letter from the PE.

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<tr>
<th>Item # from initial NOI</th>
<th>Resubmittal description</th>
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Attestation by Professional Engineer licensed in North Carolina pursuant to G.S. 89C

I, ___________________________ hereby attest that the information re-submitted for this Notice of Intent to Construct is accurate and complete to the best of my knowledge and that the proposed system shall meet applicable federal, State, and local laws, regulations, rules and ordinances in accordance with G.S. 130A-336-1(e)(6).

______________________________     _______________________
Signature of Licensed Professional Engineer       Date

LHD Follow-up Completeness Review of Notice of Intent to Construct

This follow-up review for completeness of this Notice and Intent was conducted in accordance with G.S. 130A-336.1(c). This NOI is determined to be:

☐ INCOMPLETE
Based upon review of information submitted by the PE in the RESUBMITTAL above, this Notice of Intent remains INCOMPLETE because the following items from Part 1 of this form remain missing: ________________________________.

Copies of this signed form were sent to the design PE and the Owner on __________ via __________________.

______________________________     _______________________
Print name of authorized Agent of the LHD       Signature of authorized Agent of the LHD       Date

☐ COMPLETE
Based upon review of information submitted by the PE in the RESUBMITTAL above in addition to information provided in Part 1 of this form, this NOI is deemed complete.

Copies of this signed form were sent to the PE and the Owner on __________ via __________________.

______________________________     _______________________
Print name of authorized Agent of the LHD       Signature of authorized Agent of the LHD       Date

A complete copy of this form with tracking information was sent to the State: __________ via __________________.

______________________________     _______________________
Print name of authorized Agent of the LHD       Signature of authorized Agent of the LHD       Date

DHHS/EHS/OSWPB – COMMON FORM Effective June 1, 2018
PART 3: Authorization to Operate (ATO)

Except for date received, the Section below is to be completed by the Owner or by the PE designated to act as their legal representative for the EOP.

LHD USE ONLY: Initial submittal of request for ATO received: ______________ by ______________
Date of Post-construction Conference: ______________

The following items are included in this submittal for an Authorization to Operate under an EOP:
1. Signed and sealed copy of the Engineer’s report that includes:
   a. Signed and sealed evaluation of soil conditions and site features
   b. Drawings, specifications, plans
   c. Reports on special inspections and final inspection
   d. Management Program manual
   e. On-site Wastewater Contractor’s signed statement
   f. Signed and sealed statement pursuant to 15A NCAC 18A .1938(h)
2. Fee (as applicable)
3. Notarized letter documenting Owner’s acceptance of the system from the PE

**Attestation by the Owner or the PE for Authorization to Operate**

I, ____________________________ hereby attest that all items indicated above have been provided to the County LHD and the system shall meet applicable federal, State, and local laws, regulations, rules and ordinances in accordance with G.S. 130A-336-.1(e)(6).

__________________________   __________________
Signature of Owner or Professional Engineer  Date

This section for LHD Use Only.

**LHD Review of required information for the ATO**

☐ INCOMPLETE

Based upon review of information submitted by the Owner or PE in the Section above, the following items are missing from the information required for an Authorization to Operate for an EOP: ___________________.

Copies of this signed form were sent to the design PE and the Owner on ______________ via ______________.
Date  Email, FAX, USPS, Hand-delivered

☐ COMPLETE

Based upon review of information submitted by the Owner or PE in the Section above, this Authorization to Operate is hereby issued in accordance with G.S. 130A-336.1(m).

A copy of this complete NOI/ATO with tracking information was sent to the State on ______________ via ______________.
Date  Email, FAX, USPS, Hand-delivered

**ISSUANCE OF CERTIFICATE OF OCCUPANCY:** Once the LHD determines completeness based upon the PE submission, the owner may apply to the local permitting agency for permanent electrical service to a residence, place of business or place of public assembly pursuant to G.S. 130A-339.