COMMON FORM FOR LICENSED SOIL SCIENTIST COVID-19 PERMIT OPTION FOR NON-ENGINEERED SYSTEMS

See Instructions for Use in Appendix A

Except for “Date received”, this Section to be completed by the LSS in accordance with S.L. 2020-97, Section 3.19 and G.S. 130A-336.2

LHD USE ONLY: Initial submittal of this NOI received: ______________________ by ________________ Date: ________________ Initials: ________________

PART 1: Notice of Intent to Construct (NOI)

☐ New
☐ Expansion
☐ Repair – LHD Permit Number: ________________
☐ Repair – EOP/LSS Permit Number: ________________

1. Facility Owner’s name: (Owner, Company Name, Utility, Partnership, Individual, etc.): __________________________
   Mailing address: __________________________________ City: __________________ State: ______ Zip: ______
   Telephone number: ________________________ E-mail Address: ______________________________________

2. Licensed Soil Scientist (LSS) name: ______________________ LSS License number: ________________
   Mailing address: __________________________________ City: __________________ State: _____ Zip: ______
   Telephone number: ________________________ E-mail Address: ______________________________________

3. Licensed Geologist (LG) (if applicable) name: ______________________ LG License Number: ________________
   Mailing address: __________________________________ City: __________________ State: _____ Zip: ______
   Telephone number: ________________________ E-mail Address: ______________________________________

4. Proof of Errors and Omissions or other appropriate liability insurance for the following persons is attached:
   that includes the name of the insurer, name of the insured and the effective dates of coverage:
   ☐ LSS ☐ LG

5. Property location (physical address, tax parcel identification number or subdivision lot, block number of the
   property to be permitted): ______________________________________________________________________
   County Name: __________________________

6. Type of facility:
   ☐ Place of residence No. Bedrooms: _______ No. Occupants:_______
   ☐ Place of business Basis for flow calculation: __________________________
   ☐ Place of public assembly Basis for flow calculation: __________________________
7. Factors that would affect the wastewater load:

_________________________________________________________________________
_________________________________________________________________________

8. Type, location, and classification (per Rule .1961) of wastewater system:

_________________________________________________________________________
_________________________________________________________________________

9. Design wastewater flow: _______ gpd
Design wastewater strength: ☐ domestic  ☐ high strength  ☐ industrial process (For industrial process wastewater, a Professional Engineer licensed in accordance with G.S. 89C shall design the on-site wastewater system.)

10. A plat as defined in G.S. 130A-334(7a) is attached: ☐ Yes  ☐ No
A site plan as defined in G.S. 130A-334(13a) is attached: ☐ Yes  ☐ No

In accordance with G.S. 130A-335(f), an LSS COVID-19 Permit with a plat is valid without expiration and an LSS COVID-19 Permit with a site plan is valid for five years.

11. Owner meets requirements of ownership or control of the system per 15A NCAC 18A .1938(j): ☐ Yes  ☐ No

12. Easement, right of way or encroachment agreement required per 15A NCAC 18A .1938(j): ☐ Yes  ☐ No
If yes, documentation filed in ____________ County Register of Deeds in Deed book ________ Page _______

13. Multi-party agreements required, as applicable, pursuant to 15A NCAC 18A .1937(h): ☐ Yes  ☐ No
If yes, agreements filed in ________________ County Register of Deeds in Deed book ________ Page _______

14. Location of proposed or existing wells (drinking water, irrigation, geothermal, groundwater monitoring, sampling, etc.) and any potable and non-potable water conveyance lines is indicated on attached plans and complies with 15A NCAC 18A .1950: ☐ Yes  ☐ No
This is a saprolite system. ☐ Yes  ☐ No

15. Evaluation(s) of soil conditions and site features in accordance with G.S. 130A-335(a1) signed and sealed by a LSS is attached: ☐ Yes  ☐ No

16. Evaluation of geologic and hydrogeologic conditions signed and sealed by a LG is attached ☐ Yes  ☐ NA

17. Proposed landscape, site, drainage, or soil modifications are attached: ☐ Yes  ☐ NA

Attestation by LSS pursuant to S.L. 2020-97, Section 3.19 and G.S. 130A-336.2

I, ____________________________ hereby attest that the information required to be included with this Notice of Intent to Construct is accurate and complete to the best of my knowledge and that the proposed system shall meet applicable federal, State, and local laws, regulations, rules and ordinances, and that the proposed system does not require a Professional Engineer, licensed in accordance with G.S. 89C, and in accordance with 15A NCAC 18A .1938 and activities determined to be engineering as determined by the North Carolina Board of Examiners for Engineers and Surveyors."

_____________________________________________      _______________________
Signature of Licensed Soil Scientist                              Date
NOTES:
LIABILITY: The Department, the Department’s authorized agents, or local health departments shall have no liability for wastewater systems designed, constructed, and installed pursuant to an LSS COVID-19 Permit Option [S.L. 2020-97, Section 3.19(d) and G.S. 130A-336.2]

RIGHT OF ENTRY: The submittal of this Notice of Intent to Construct grants right of entry to the Local Health Department and the State to the referenced property.

ISSUANCE OF BUILDING PERMIT: Once the LHD deems that the Notice of Intent to Construct is complete via signature in the section below, the owner may apply to the local permitting agency for a permit for electrical, plumbing, heating, air conditioning or other construction, location or relocation activity under any provision of general or special law pursuant to G.S. 130A-338.
This section for Local Health Department use only.

PART 2: LHD Completeness Review of the Notice of Intent to Construct

"(c) Completeness Review for Notice of Intent to Construct. –The local health department shall determine whether the notice of intent to construct required pursuant to subsection (b) of this section is complete within five business days after receiving the notice of intent to construct. A determination of completeness means that the notice of intent to construct includes all of the required components. If the local health department determines that the notice of intent to construct is incomplete, the local health department shall notify the owner and list the information needed to complete the notice. The owner may then submit additional information to the local health department to cure the deficiencies in the initial notice. The local health department shall make a final determination as to whether the notice of intent to construct is complete within five business days after the department receives the additional information. If the local health department fails to act within any time period set out in this subsection, the owner may treat the failure to act as a determination of completeness. The owner shall be able to apply for the building permit for the project upon the decision of completeness of the notice of intent by the local health department or if the local health department fails to act within the five business day time period."

The review for completeness of this Notice of Intent was conducted in accordance with G.S. 130A-336.2(c). This NOI is determined to be:

☐ INCOMPLETE (If box is checked, Information in this section is required.)

Based upon review of information submitted in Part 1, the following items are missing: ______________ ________

Copies of this form listing missing items were sent to the LSS and the Owner on ________________

via _____________________ with directions to re-submit missing items using Page 5 of this form.

Email, FAX, USPS, hand-delivered

____________________________________    _______________________________________   _____________

Print Name of Authorized Agent of the LHD   Signature of Authorized Agent of the LHD                      Date

☐ COMPLETE (If box is checked, information in this section is required.)

Based upon review of information submitted in Part 1 of this form, this NOI is deemed COMPLETE.

Copies of this signed form were sent to the LSS and the Owner on ________ via ____________________.

Date   Email, FAX, USPS, hand-delivered

A copy of this NOI and tracking information was sent to the State on_____________via ____________________.

Date   Email, FAX, USPS, hand-delivered

____________________________________    _______________________________________   _____________

Print Name of Authorized Agent of the LHD   Signature of Authorized Agent of the LHD                      Date
Re-submittal of NOI with missing items included

This Section is for use by owner to submit items noted as missing during LHD Completeness Review above.
Resubmittals must be accompanied by a cover letter from the LSS.

<table>
<thead>
<tr>
<th>Item # from initial NOI</th>
<th>Resubmittal description</th>
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LHD USE ONLY: This NOI resubmittal received: ________________ by ________________

Date Initials

Attestation by LSS pursuant to S.L. 2020-97, Section 3.19

I, ____________________________ hereby attest that the information required to be included with this Notice of Intent to Construct is accurate and complete to the best of my knowledge and that the proposed system shall meet applicable federal, State, and local laws, regulations, rules, and ordinances.

______________________________      ______________________
Signature of Licensed Soil Scientist   Date

LHD Follow-up Completeness Review of Notice of Intent to Construct

This follow-up review for completeness of this Notice and Intent was conducted in accordance with G.S. 130A-336.2(c). This NOI is determined to be:

☐ INCOMPLETE
Based upon review of information in the RESUBMITTAL above, this Notice of Intent remains INCOMPLETE because the following items from Part 1 of this form remain missing: ____________________________

Copies of this signed form were sent to the LSS and the Owner on ________________ via ____________________.

Date Email, FAX, USPS, Hand-delivered

☑ COMPLETE
Based upon review of information submitted in the RESUBMITTAL above in addition to information provided in Part 1 of this form, this NOI is deemed complete.

Copies of this signed form were sent to the LSS and the Owner on ________________ via ____________________.

Date Email, FAX, USPS, Hand-delivered

A complete copy of this form with tracking information was sent to the State: ________________ via ____________________.

Date Email, FAX, USPS, Hand-delivered

Print name of authorized Agent of the LHD ____________________    Signature of authorized Agent of the LHD ____________________    Date ________________
Print name of authorized Agent of the LHD ____________________    Signature of authorized Agent of the LHD ____________________    Date ________________
Print name of authorized Agent of the LHD ____________________    Signature of authorized Agent of the LHD ____________________    Date ________________
**PART 3: Authorization to Operate (ATO)**

*Except for date received, the Section below is to be completed by the Owner.*

<table>
<thead>
<tr>
<th>LHD USE ONLY: Initial submittal of request for ATO received: __________________ by ____________</th>
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</thead>
<tbody>
<tr>
<td>Date</td>
<td>Initials</td>
</tr>
<tr>
<td>Date of Post-construction Conference: ________________</td>
<td></td>
</tr>
</tbody>
</table>

The following items are included in this submittal for an Authorization to Operate under an LSS COVID-19 permit:

1. Signed and sealed copy of the LSS’s report that includes:
   a. Signed and sealed evaluation of soil conditions and site features [ ] Yes [ ] No
   b. Drawings, specifications, plans [ ] Yes [ ] No
   c. Reports on special inspections and final inspection [ ] Yes [ ] No
   d. Management Program manual [ ] Yes [ ] No
   e. On-site Wastewater Contractor’s signed statement [ ] Yes [ ] No

2. Fee (as applicable) [ ] Yes [ ] No

3. Notarized letter documenting Owner’s acceptance of the system from the LSS [ ] Yes [ ] No

4. On-site Wastewater Contractor name: ___________________________ License number: ____________
   Mailing address: __________________________________________ City: ______________ State: _____ Zip: ________
   Telephone number: ____________________   E-mail Address:  ______________________________________

5. Proof of Errors and Omissions or other appropriate liability insurance for the On-site Wastewater Contractor is [ ] Yes [ ] No
   attached and includes the name of the insurer, name of the insured, and the effective dates of coverage.

**Attestation by the Owner for Authorization to Operate**

I, ____________________________ hereby attest that all items indicated above have been provided to the County LHD and the system shall meet applicable federal, State, and local laws, regulations, rules and ordinances.

_________________________ County LHD and the system shall meet applicable federal, State, and local laws, regulations, rules and ordinances.

Signature of Owner Date

This section for LHD Use Only.

**LHD Review of required information for the ATO**

[ ] INCOMPLETE

Based upon review of information submitted by the Owner in the Section above, the following items are missing from the information required for an Authorization to Operate for an LSS COVID-19 permit: ____________________________.

Copies of this signed form were sent to the LSS and the Owner on ___________ via ____________________.

[ ] COMPLETE

Based upon review of information submitted by the Owner in the Section above, this Authorization to Operate is hereby issued in accordance with G.S. 130A-336.2(m).

A copy of this complete NOI/ATO with tracking information was sent to the State on ___________ via ____________________.

**ISSUANCE OF CERTIFICATE OF OCCUPANCY:** Once the LHD determines completeness based upon the ATO submission, the owner may apply to the local permitting agency for permanent electrical service to a residence, place of business or place of public assembly pursuant to G.S. 130A-339.